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**APPARATUS AND METHOD FOR ROUTING INTERNET PROTOCOL FRAMES  
OVER A SYSTEM AREA NETWORK**

5                   **BACKGROUND OF THE INVENTION**

**1.     Technical Field:**

10           The present invention is directed to an improved  
data processing system. More specifically, the present  
invention provides an apparatus and method for an  
advanced tunneling technique to allow Internet Protocol  
(IP) frames to be routed through System Area Network  
(SAN) components with little or no overhead.  
Furthermore, the present invention provides an apparatus  
15   and method for processing Internet Protocol (IP) version  
6 datagrams over a SAN using basic raw datagram  
interfaces.

**2.     Description of Related Art:**

20           In a System Area Network (SAN), such as an  
InfiniBand (IB) network, the hardware provides a message  
passing mechanism that can be used for Input/Output  
devices (I/O) and interprocess communications (IPC)  
between general computing nodes. Processes executing on  
25   devices access SAN message passing hardware by posting  
send/receive messages to send/receive work queues on a  
SAN channel adapter (CA). These processes also are  
referred to as "consumers."

30           The send/receive work queues (WQ) are assigned to a  
consumer as a queue pair (QP). The messages can be sent  
over five different transport types: Reliable Connected  
(RC), Reliable Datagram (RD), Unreliable Connected (UC),

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Unreliable Datagram (UD), and Raw Datagram (RawD).

Consumers retrieve the results of these messages from a completion queue (CQ) through SAN send and receive work completion (WC) queues. The source channel adapter takes  
5 care of segmenting outbound messages and sending them to the destination. The destination channel adapter takes care of reassembling inbound messages and placing them in the memory space designated by the destination's consumer.

10 Two channel adapter types are present in nodes of the SAN fabric, a host channel adapter (HCA) and a target channel adapter (TCA). The host channel adapter is used by general purpose computing nodes to access the SAN fabric. Consumers use SAN verbs to access host channel  
15 adapter functions. The software that interprets verbs and directly accesses the channel adapter is known as the channel interface (CI).

Target channel adapters (TCA) are used by nodes that are the subject of messages sent from host channel  
20 adapters. The target channel adapters serve a similar function as that of the host channel adapters in providing the target node an access point to the SAN fabric.

Thus, with the SAN architecture described above, an  
25 Ethernet device driver can communicate with an Ethernet adapter by posting send/receive messages to a Host Channel Adapter (HCA) and retrieve the results of these messages through the HCA's Send and Receive Work Queues. The Ethernet adapter includes a Target Channel Adapter,  
30 which is the component that attaches to the SAN. Thus, to attach to a Local Area Network (LAN), such as an

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Internet Protocol (IP) and Ethernet network, an Ethernet adapter is needed as well as a switch or router that attaches the Ethernet adapter to the IP based LAN.

- 5 It would be beneficial to reduce the amount of hardware necessary to connect a computing device to a network.

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**SUMMARY OF THE INVENTION**

The present invention provides an apparatus and method for an advanced tunneling technique to allow Internet Protocol (IP) frames to be routed through System Area Network (SAN) components with little or no overhead. Furthermore, the present invention provides an apparatus and method for processing Internet Protocol (IP) version 6 datagrams over a SAN using basic raw and unreliable datagram (RawD and UD respectively) interfaces. The present invention allows a host channel adapter (HCA) to attach directly to an IP router which supports multiple link protocols, for example a router than attaches InfiniBand (IB) links and Ethernet links, and uses IP as the networking protocol on both. In this way, a SAN may be coupled to a LAN via a router with minimal hardware and overhead.

With the apparatus and method of the present invention, during normal operations an Internet Protocol (IP) over InfiniBand (IB) device driver pushes, via posting a single packet message in a send queue, a raw or unreliable datagram into a router port. The IP over IB device driver is a device driver that resides in a host operating system and communicates with the host IP layer and the IP router, hereafter referred to as the router. The IP over IB device driver communicates directly with the HCA and communicates with the IP router through IB work queues

The router parses the routing header of the single packet message. The router determines which output port to send the packet out of by looking at the packet's IB Global Router Header's Destination Global ID or IPv6

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Destination Address. The router creates the link layer header necessary to send the packet from the output port. The router then sends the packet from the output port and into the LAN.

- 5 For data coming in from the LAN, the router receives a packet from the LAN. The router parses the packet's routing header. The router determines which output port to send the packet out of by looking at the packet's IB Global Router Header's Destination Global ID or IPv6
- 10 Destination Address. The router creates the IB link layer header necessary to send the packet from the router's output port to the appropriate HCA receive queue.

- If the HCA is using a Unreliable Datagram (UD) Queue
- 15 Pair (QP), the router creates the IB Transport Header necessary to address the HCA's IP Queue Pair. If the HCA is using a Raw Datagram (RawD) Queue Pair, the router doesn't create an IB Transport Header. The router then sends the packet from the router output port to the HCA's
- 20 input port and into the HCA's IP receive queue. The HCA then puts the data into system memory.

- Thus, with the present invention, the router parses the data packet routing header and sends the data to an appropriate HCA Queue Pair based on the parsing. In this
- 25 way, the additional hardware and overhead of an Ethernet Adapter and a Target Channel Adapter (TCA) is avoided.

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**BRIEF DESCRIPTION OF THE DRAWINGS**

5       The novel features believed characteristic of the  
invention are set forth in the appended claims. The  
invention itself, however, as well as a preferred mode of  
use, further objectives and advantages thereof, will best  
be understood by reference to the following detailed  
10 description of an illustrative embodiment when read in  
conjunction with the accompanying drawings, wherein:

**Figure 1** is a diagram of a distributed computer  
system is illustrated in accordance with a preferred  
embodiment of the present invention;

15       **Figure 2** is a functional block diagram of a host  
processor node in accordance with a preferred embodiment  
of the present invention;

**Figure 3A** is a diagram of a host channel adapter in  
accordance with a preferred embodiment of the present  
20 invention;

**Figure 3B** is a diagram of a switch in accordance  
with a preferred embodiment of the present invention;

**Figure 3C** is a diagram of a router in accordance  
with a preferred embodiment of the present invention;

25       **Figure 4** is a diagram illustrating processing of  
work requests in accordance with a preferred embodiment  
of the present invention;

**Figure 5** is a diagram illustrating a portion of a  
distributed computer system in accordance with a

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preferred embodiment of the present invention in which a reliable connection service is used;

**Figure 6** is a diagram illustrating a portion of a distributed computer system in accordance with a preferred embodiment of the present invention in which reliable datagram service connections are used;

**Figure 7** is an illustration of a data packet in accordance with a preferred embodiment of the present invention;

**Figure 8** is a diagram illustrating a portion of a distributed computer system in accordance with a preferred embodiment of the present invention;

**Figure 9** is a diagram illustrating the network addressing used in a distributed networking system in accordance with the present invention;

**Figure 10** is a diagram illustrating a portion of a distributed computing system in accordance with a preferred embodiment of the present invention in which the structure of SAN fabric subnets is illustrated;

**Figure 11** is a diagram of a layered communication architecture used in a preferred embodiment of the present invention;

**Figure 12** is an exemplary diagram illustrating the transmission and reception of raw datagrams in accordance with the present invention;

**Figure 13** is a flowchart outlining an exemplary operation of the present invention when sending data from a HCA to a router in accordance with the present invention;

**Figure 14** is a flowchart outlining an exemplary operation of a router in accordance with the present invention; and

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**Figure 15** is a flowchart outlining an exemplary operation of the present invention when data is received from an external network device.

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## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention provides a distributed computing system having end nodes, switches, routers, and links interconnecting these components. Each end node uses send and receive queue pairs to transmit and receives messages. The end nodes segment the message into packets and transmit the packets over the links. The switches and routers interconnect the end nodes and route the packets to the appropriate end node. The end nodes reassemble the packets into a message at the destination.

With reference now to the figures and in particular with reference to **Figure 1**, a diagram of a distributed computer system is illustrated in accordance with a preferred embodiment of the present invention. The distributed computer system represented in **Figure 1** takes the form of a system area network (SAN) **100** and is provided merely for illustrative purposes, and the embodiments of the present invention described below can be implemented on computer systems of numerous other types and configurations. For example, computer systems implementing the present invention can range from a small server with one processor and a few input/output (I/O) adapters to massively parallel supercomputer systems with hundreds or thousands of processors and thousands of I/O adapters. Furthermore, the present invention can be implemented in an infrastructure of remote computer systems connected by an internet or intranet.

SAN **100** is a high-bandwidth, low-latency network interconnecting nodes within the distributed computer system. A node is any component attached to one or more

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links of a network and forming the origin and/or destination of messages within the network. In the depicted example, SAN **100** includes nodes in the form of host processor node **102**, host processor node **104**,  
5 redundant array independent disk (RAID) subsystem node **106**, and I/O chassis node **108**. The nodes illustrated in **Figure 1** are for illustrative purposes only, as SAN **100** can connect any number and any type of independent processor nodes, I/O adapter nodes, and I/O device nodes.  
10 Any one of the nodes can function as an endnode, which is herein defined to be a device that originates or finally consumes messages or frames in SAN **100**.

In one embodiment of the present invention, an error handling mechanism in distributed computer systems is  
15 present in which the error handling mechanism allows for reliable connection or reliable datagram communication between end nodes in distributed computing system, such as SAN **100**.

A message, as used herein, is an application-defined  
20 unit of data exchange, which is a primitive unit of communication between cooperating processes. A packet is one unit of data encapsulated by networking protocol headers and/or trailers. The headers generally provide control and routing information for directing the frame  
25 through SAN. The trailer generally contains control and cyclic redundancy check (CRC) data for ensuring packets are not delivered with corrupted contents.

SAN **100** contains the communications and management infrastructure supporting both I/O and interprocessor  
30 communications (IPC) within a distributed computer system. The SAN **100** shown in **Figure 1** includes a switched communications fabric **116**, which allows many

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devices to concurrently transfer data with high-bandwidth and low latency in a secure, remotely managed environment. Endnodes can communicate over multiple ports and utilize multiple paths through the SAN fabric.

- 5 The multiple ports and paths through the SAN shown in **Figure 1** can be employed for fault tolerance and increased bandwidth data transfers.

The SAN **100** in **Figure 1** includes switch **112**, switch **114**, switch **146**, and router **117**. A switch is a device  
10 that connects multiple links together and allows routing of packets from one link to another link within a subnet using a small header Destination Local Identifier (DLID) field. A router is a device that connects multiple  
15 one link in a first subnet to another link in a second subnet using a large header Destination Globally Unique Identifier (DGUID).

In one embodiment, a link is a full duplex channel between any two network fabric elements, such as  
20 endnodes, switches, or routers. Example suitable links include, but are not limited to, copper cables, optical cables, and printed circuit copper traces on backplanes and printed circuit boards.

For reliable service types, endnodes, such as host  
25 processor endnodes and I/O adapter endnodes, generate request packets and return acknowledgment packets. Switches and routers pass packets along, from the source to the destination. Except for the variant CRC trailer field, which is updated at each stage in the network,  
30 switches pass the packets along unmodified. Routers update the variant CRC trailer field and modify other fields in the header as the packet is routed.

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In one embodiment, a host channel adapter is implemented in hardware. In this implementation, the host channel adapter hardware offloads much of central processing unit and I/O adapter communication overhead. This hardware implementation of the host channel adapter also permits multiple concurrent communications over a switched network without the traditional overhead associated with communicating protocols.

In one embodiment, the host channel adapters and SAN 100 in **Figure 1** provide the I/O and interprocessor communications (IPC) consumers of the distributed computer system with zero processor-copy data transfers

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without involving the operating system kernel process,  
and employs hardware to provide reliable, fault tolerant  
communications. As indicated in **Figure 1**, router **116** is  
coupled to wide area network (WAN) and/or local area  
5 network (LAN) connections to other hosts or other  
routers.

The I/O chassis **108** in **Figure 1** includes an I/O  
switch **146** and multiple I/O modules **148-156**. In these  
examples, the I/O modules take the form of adapter cards.  
10 Example adapter cards illustrated in **Figure 1** include a  
SCSI adapter card for I/O module **148**; an adapter card to  
fiber channel hub and fiber channel-arbitrated loop  
(FC-AL) devices for I/O module **152**; an ethernet adapter  
card for I/O module **150**; a graphics adapter card for I/O  
15 module **154**; and a video adapter card for I/O module **156**.  
Any known type of adapter card can be implemented. I/O  
adapters also include a switch in the I/O adapter  
backplane to couple the adapter cards to the SAN fabric.  
These modules contain target channel adapters **158-166**.

20 In this example, RAID subsystem node **106** in **Figure 1**  
includes a processor **168**, a memory **170**, a target channel  
adapter (TCA) **172**, and multiple redundant and/or striped  
storage disk unit **174**. Target channel adapter **172** can be  
a fully functional host channel adapter.

25 SAN **100** handles data communications for I/O and  
interprocessor communications. SAN **100** supports  
high-bandwidth and scalability required for I/O and also  
supports the extremely low latency and low CPU overhead  
required for interprocessor communications. User clients  
30 can bypass the operating system kernel process and  
directly access network communication hardware, such as

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host channel adapters, which enable efficient message passing protocols. SAN **100** is suited to current computing models and is a building block for new forms of I/O and computer cluster communication. Further, SAN **100** in **Figure 1** allows I/O adapter nodes to communicate among themselves or communicate with any or all of the processor nodes in distributed computer system. With an I/O adapter attached to the SAN **100**, the resulting I/O adapter node has substantially the same communication capability as any host processor node in SAN **100**.

In one embodiment, the SAN **100** shown in **Figure 1** supports channel semantics and memory semantics. Channel semantics is sometimes referred to as send/receive or push communication operations. Channel semantics are the type of communications employed in a traditional I/O channel where a source device pushes data and a destination device determines a final destination of the data. In channel semantics, the packet transmitted from a source process specifies a destination processes' communication port, but does not specify where in the destination processes' memory space the packet will be written. Thus, in channel semantics, the destination process pre-allocates where to place the transmitted data.

In memory semantics, a source process directly reads or writes the virtual address space of a remote node destination process. The remote destination process need only communicate the location of a buffer for data, and does not need to be involved in the transfer of any data. Thus, in memory semantics, a source process sends a data packet containing the destination buffer memory address of the destination process. In memory semantics, the

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5 A typical I/O operation employs a combination of channel and memory semantics. In an illustrative example I/O operation of the distributed computer system shown in **Figure 1**, a host processor node, such as host processor node **102**, initiates an I/O operation by using channel semantics to send a disk write command to a disk I/O adapter, such as RAID subsystem target channel adapter (TCA) **172**. The disk I/O adapter examines the command and uses memory semantics to read the data buffer directly from the memory space of the host processor node. After the data buffer is read, the disk I/O adapter employs channel semantics to push an I/O completion message back to the host processor node.

Turning next to **Figure 2**, a functional block diagram of a host processor node is depicted in accordance with a preferred embodiment of the present invention. Host processor node **200** is an example of a host processor node, such as host processor node **102** in **Figure 1**.

30           In this example, host processor node **200** shown in **Figure 2** includes a set of consumers **202-208**, which are processes executing on host processor node **200**. Host

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processor node **200** also includes channel adapter **210** and channel adapter **212**. Channel adapter **210** contains ports **214** and **216** while channel adapter **212** contains ports **218** and **220**. Each port connects to a link. The ports can  
5 connect to one SAN subnet or multiple SAN subnets, such as SAN **100** in **Figure 1**. In these examples, the channel adapters take the form of host channel adapters.

Consumers **202-208** transfer messages to the SAN via the verbs interface **222** and message and data service **224**.  
10 A verbs interface is essentially an abstract description of the functionality of a host channel adapter. An operating system may expose some or all of the verb functionality through its programming interface. Basically, this interface defines the behavior of the  
15 host. Additionally, host processor node **200** includes a message and data service **224**, which is a higher-level interface than the verb layer and is used to process messages and data received through channel adapter **210** and channel adapter **212**. Message and data service **224**  
20 provides an interface to consumers **202-208** to process messages and other data.

With reference now to **Figure 3A**, a diagram of a host channel adapter is depicted in accordance with a preferred embodiment of the present invention. Host  
25 channel adapter **300A** shown in **Figure 3A** includes a set of queue pairs (QPs) **302A-310A**, which are used to transfer messages to the host channel adapter ports **312A-316A**. Buffering of data to host channel adapter ports **312A-316A** is channeled through virtual lanes (VL) **318A-334A** where  
30 each VL has its own flow control. Subnet manager



A single channel adapter, such as the host channel adapter **300A** shown in **Figure 3A**, can support thousands of queue pairs. By contrast, a target channel adapter in an I/O adapter typically supports a much smaller number of queue pairs. Each queue pair consists of a send work queue (SWQ) and a receive work queue. The send work queue is used to send channel and memory semantic messages. The receive work queue receives channel semantic messages. A consumer calls an operating-system specific programming interface, which is herein referred to as verbs, to place work requests (WRs) onto a work queue.

Similarly, **Figure 3C** depicts a router **300C** according to a preferred embodiment of the present invention. Router **300C** includes a packet relay **302C** in communication with a number of ports **304C** through virtual lanes such as

Virtual lanes are employed for numerous reasons,  
20 some of which are as follows: Virtual lanes provide QoS.  
In one example embodiment, certain virtual lanes are  
reserved for high priority or isochronous traffic to  
provide QoS.

Virtual lanes alleviate head-of-line blocking. When  
30 a switch has no more credits available for packets that

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utilize a given virtual lane, packets utilizing a different virtual lane that has sufficient credits are allowed to make forward progress.

With reference now to **Figure 4**, a diagram illustrating processing of work requests is depicted in accordance with a preferred embodiment of the present invention. In **Figure 4**, a receive work queue **400**, send work queue **402**, and completion queue **404** are present for processing requests from and for consumer **406**. These requests from consumer **402** are eventually sent to hardware **408**. In this example, consumer **406** generates work requests **410** and **412** and receives work completion **414**. As shown in **Figure 4**, work requests placed onto a work queue are referred to as work queue elements (WQEs).

Send work queue **402** contains work queue elements (WQEs) **422-428**, describing data to be transmitted on the SAN fabric. Receive work queue **400** contains work queue elements (WQEs) **416-420**, describing where to place incoming channel semantic data from the SAN fabric. A work queue element is processed by hardware **408** in the host channel adapter.

The verbs also provide a mechanism for retrieving completed work from completion queue **404**. As shown in **Figure 4**, completion queue **404** contains completion queue elements (CQEs) **430-436**. Completion queue elements contain information about previously completed work queue elements. Completion queue **404** is used to create a single point of completion notification for multiple queue pairs. A completion queue element is a data structure on a completion queue. This element describes a completed work queue element. The completion queue element

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contains sufficient information to determine the queue pair and specific work queue element that completed. A completion queue context is a block of information that contains pointers to, length, and other information

5 needed to manage the individual completion queues.

Example work requests supported for the send work queue **402** shown in **Figure 4** are as follows. A send work request is a channel semantic operation to push a set of local data segments to the data segments referenced by a remote node's receive work queue element. For example, work queue element **428** contains references to data segment 4 **438**, data segment 5 **440**, and data segment 6 **442**. Each of the send work request's data segments contains a virtually contiguous memory region. The virtual addresses used to reference the local data segments are in the address context of the process that created the local queue pair.

A remote direct memory access (RDMA) read work request provides a memory semantic operation to read a virtually contiguous memory space on a remote node. A memory space can either be a portion of a memory region or portion of a memory window. A memory region references a previously registered set of virtually contiguous memory addresses defined by a virtual address and length. A memory window references a set of virtually contiguous memory addresses that have been bound to a previously registered region.

The RDMA Read work request reads a virtually contiguous memory space on a remote endnode and writes the data to a virtually contiguous local memory space. Similar to the send work request, virtual addresses used by the RDMA Read work queue element to reference the

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local data segments are in the address context of the process that created the local queue pair. For example, work queue element **416** in receive work queue **400** references data segment 1 **444**, data segment 2 **446**, and  
5 data segment **448**. The remote virtual addresses are in the address context of the process owning the remote queue pair targeted by the RDMA Read work queue element.

A RDMA Write work queue element provides a memory semantic operation to write a virtually contiguous memory  
10 space on a remote node. The RDMA Write work queue element contains a scatter list of local virtually contiguous memory spaces and the virtual address of the remote memory space into which the local memory spaces are written.

15 A RDMA FetchOp work queue element provides a memory semantic operation to perform an atomic operation on a remote word. The RDMA FetchOp work queue element is a combined RDMA Read, Modify, and RDMA Write operation. The RDMA FetchOp work queue element can support several  
20 read-modify-write operations, such as Compare and Swap if equal.

A bind (unbind) remote access key (R\_Key) work queue element provides a command to the host channel adapter hardware to modify (destroy) a memory window by  
25 associating (disassociating) the memory window to a memory region. The R\_Key is part of each RDMA access and is used to validate that the remote process has permitted access to the buffer.

In one embodiment, receive work queue **400** shown in  
30 **Figure 4** only supports one type of work queue element, which is referred to as a receive work queue element. The receive work queue element provides a channel

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semantic operation describing a local memory space into which incoming send messages are written. The receive work queue element includes a scatter list describing several virtually contiguous memory spaces. An incoming  
5 send message is written to these memory spaces. The virtual addresses are in the address context of the process that created the local queue pair.

For interprocessor communications, a user-mode software process transfers data through queue pairs  
10 directly from where the buffer resides in memory. In one embodiment, the transfer through the queue pairs bypasses the operating system and consumes few host instruction cycles. Queue pairs permit zero processor-copy data transfer with no operating system kernel involvement.  
15 The zero processor-copy data transfer provides for efficient support of high-bandwidth and low-latency communication.

When a queue pair is created, the queue pair is set to provide a selected type of transport service. In one  
20 embodiment, a distributed computer system implementing the present invention supports four types of transport services: reliable, unreliable, reliable datagram, and unreliable datagram connection service.

Reliable and Unreliable connected services associate  
25 a local queue pair with one and only one remote queue pair. Connected services require a process to create a queue pair for each process that is to communicate with over the SAN fabric. Thus, if each of N host processor nodes contain P processes, and all P processes on each  
30 node wish to communicate with all the processes on all

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driver software retries any failed communications. The process client of the queue pair obtains reliable communications even in the presence of bit errors, receive underruns, and network congestion. If

- 5 alternative paths exist in the SAN fabric, reliable communications can be maintained even in the presence of failures of fabric switches, links, or channel adapter ports.

- In addition, acknowledgments may be employed to  
10 deliver data reliably across the SAN fabric. The acknowledgment may, or may not, be a process level acknowledgment, i.e. an acknowledgment that validates that a receiving process has consumed the data. Alternatively, the acknowledgment may be one that only  
15 indicates that the data has reached its destination.

- Reliable datagram service associates a local end-to-end (EE) context with one and only one remote end-to-end context. The reliable datagram service permits a client process of one queue pair to communicate  
20 with any other queue pair on any other remote node. At a receive work queue, the reliable datagram service permits incoming messages from any send work queue on any other remote node.

- The reliable datagram service greatly improves  
25 scalability because the reliable datagram service is connectionless. Therefore, an endnode with a fixed number of queue pairs can communicate with far more processes and endnodes with a reliable datagram service than with a reliable connection transport service. For  
30 example, if each of N host processor nodes contain P processes, and all P processes on each node wish to communicate with all the processes on all the other

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nodes, the reliable connection service requires  $P^2 \times (N - 1)$  queue pairs on each node. By comparison, the connectionless reliable datagram service only requires  $P$  queue pairs +  $(N - 1)$  EE contexts on each node for exactly  
5 the same communications.

A portion of a distributed computer system employing a reliable datagram service to communicate between distributed processes is illustrated in **Figure 6**. The distributed computer system **600** in **Figure 6** includes a  
10 host processor node 1, a host processor node 2, and a host processor node 3. Host processor node 1 includes a process A **610** having a queue pair 4. Host processor node 2 has a process C **620** having a queue pair 24 and a process D **630** having a queue pair 25. Host processor  
15 node 3 has a process E **640** having a queue pair 14.

In the reliable datagram service implemented in the distributed computer system **600**, the queue pairs are coupled in what is referred to as a connectionless transport service. For example, a reliable datagram  
20 service couples queue pair 4 to queue pairs 24, 25 and 14. Specifically, a reliable datagram service allows queue pair 4's send work queue to reliably transfer messages to receive work queues in queue pairs 24, 25 and 14. Similarly, the send queues of queue pairs 24, 25,  
25 and 14 can reliably transfer messages to the receive work queue in queue pair 4.

In one embodiment of the present invention, the reliable datagram service employs sequence numbers and acknowledgments associated with each message frame to  
30 ensure the same degree of reliability as the reliable connection service. End-to-end (EE) contexts maintain end-to-end specific state to keep track of sequence

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numbers, acknowledgments, and time-out values. The end-to-end state held in the EE contexts is shared by all the connectionless queue pairs communication between a pair of endnodes. Each endnode requires at least one EE  
5 context for every endnode it wishes to communicate with in the reliable datagram service (e.g., a given endnode requires at least N EE contexts to be able to have reliable datagram service with N other endnodes).

The unreliable datagram service is connectionless.  
10 The unreliable datagram service is employed by management applications to discover and integrate new switches, routers, and endnodes into a given distributed computer system. The unreliable datagram service does not provide the reliability guarantees of the reliable connection  
15 service and the reliable datagram service. The unreliable datagram service accordingly operates with less state information maintained at each endnode.

Turning next to **Figure 7**, an illustration of a data packet is depicted in accordance with a preferred  
20 embodiment of the present invention. A data packet is a unit of information that is routed through the SAN fabric. The data packet is an endnode-to-endnode construct, and is thus created and consumed by endnodes. For packets destined to a channel adapter (either host or  
25 target), the data packets are neither generated nor consumed by the switches and routers in the SAN fabric. Instead for data packets that are destined to a channel adapter, switches and routers simply move request packets or acknowledgment packets closer to the ultimate  
30 destination, modifying the variant link header fields in the process. Routers, also modify the packet's network

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header when the packet crosses a subnet boundary. In traversing a subnet, a single packet stays on a single service level.

Message data **700** contains data segment 1 **702**, data  
5 segment 2 **704**, and data segment 3 **706**, which are similar  
to the data segments illustrated in **Figure 4**. In this  
example, these data segments form a packet **708**, which is  
placed into packet payload **710** within data packet **712**.  
Additionally, data packet **712** contains CRC **714**, which is  
10 used for error checking. Additionally, routing header  
**716** and transport **718** are present in data packet **712**.  
Routing header **716** is used to identify source and  
destination ports for data packet **712**. Transport header  
**718** in this example specifies the destination queue pair  
15 for data packet **712**. Additionally, transport header **718**  
also provides information such as the operation code,  
packet sequence number, and partition for data packet  
**712**.

The operating code identifies whether the packet is  
20 the first, last, intermediate, or only packet of a  
message. The operation code also specifies whether the  
operation is a send RDMA write, read, or atomic. The  
packet sequence number is initialized when communication  
is established and increments each time a queue pair  
25 creates a new packet. Ports of an endnode may be  
configured to be members of one or more possibly  
overlapping sets called partitions.

In **Figure 8**, a portion of a distributed computer  
system is depicted to illustrate an example request and  
30 acknowledgment transaction. The distributed computer  
system in **Figure 8** includes a host processor node **802** and

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a host processor node **804**. Host processor node **802** includes a host channel adapter **806**. Host processor node **804** includes a host channel adapter **808**. The distributed computer system in **Figure 8** includes a SAN fabric **810**,  
5 which includes a switch **812** and a switch **814**. The SAN fabric includes a link coupling host channel adapter **806** to switch **812**; a link coupling switch **812** to switch **814**; and a link coupling host channel adapter **808** to switch **814**.

10 In the example transactions, host processor node **802** includes a client process A. Host processor node **804** includes a client process B. Client process A interacts with host channel adapter hardware **806** through queue pair **824**. Client process B interacts with hardware channel  
15 adapter hardware **808** through queue pair **828**. Queue pairs **824** and **828** are data structures that include a send work queue and a receive work queue.

Process A initiates a message request by posting work queue elements to the send queue of queue pair **824**.  
20 Such a work queue element is illustrated in **Figure 4**. The message request of client process A is referenced by a gather list contained in the send work queue element. Each data segment in the gather list points to a  
virtually contiguous local memory region, which contains  
25 a part of the message, such as indicated by data segments 1, 2, and 3, which respectively hold message parts 1, 2, and 3, in **Figure 4**.

Hardware in host channel adapter **806** reads the work queue element and segments the message stored in virtual  
30 contiguous buffers into data packets, such as the data packet illustrated in **Figure 7**. Data packets are routed

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through the SAN fabric, and for reliable transfer services, are acknowledged by the final destination endnode. If not successively acknowledged, the data packet is retransmitted by the source endnode. Data  
5 packets are generated by source endnodes and consumed by destination endnodes.

In reference to **Figure 9**, a diagram illustrating the network addressing used in a distributed networking system is depicted in accordance with the present  
10 invention. A host name provides a logical identification for a host node, such as a host processor node or I/O adapter node. The host name identifies the endpoint for messages such that messages are destined for processes residing on an end node specified by the host name.  
15 Thus, there is one host name per node, but a node can have multiple CAs.

A single IEEE assigned 64-bit identifier (EUI-64) **902** is assigned to each component. A component can be a switch, router, or CA.

20 One or more globally unique ID (GUID) identifies **904** are assigned per CA port **906**. Multiple GUIDs (a.k.a. IP addresses) can be used for several reasons, some of which are illustrated by the following examples. In one embodiment, different IP addresses identify different  
25 partitions or services on an end node. In a different embodiment, different IP addresses are used to specify different Quality of Service (QoS) attributes. In yet another embodiment, different IP addresses identify different paths through intra-subnet routes. One GUID  
30 **908** is assigned to a switch **910**.

A local ID (LID) refers to a short address ID used to identify a CA port within a single subnet. In one

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example embodiment, a subnet has up to  $2^{16}$  end nodes, switches, and routers, and the LID is accordingly 16 bits. A source LID (SLID) and a destination LID (DLID) are the source and destination LIDs used in a local  
5 network header. A single CA port **1006** has up to  $2^{LMC}$  LIDs **912** assigned to it. The LMC represents the LID Mask Control field in the CA. A mask is a pattern of bits used to accept or reject bit patterns in another set of data.

Multiple LIDs can be used for several reasons some  
10 of which are provided by the following examples. In one embodiment, different LIDs identify different partitions or services in an end node. In another embodiment, different LIDs are used to specify different QoS attributes. In yet a further embodiment, different LIDs  
15 specify different paths through the subnet. A single switch port **914** has one LID **916** associated with it.

A one-to-one correspondence does not necessarily exist between LIDs and GUIDs, because a CA can have more or less LIDs than GUIDs for each port. For CAs with  
20 redundant ports and redundant conductivity to multiple SAN fabrics, the CAs can, but are not required to, use the same LID and GUID on each of its ports.

A portion of a distributed computer system in accordance with a preferred embodiment of the present  
25 invention is illustrated in **Figure 10**. Distributed computer system **1000** includes a subnet **1002** and a subnet **1004**. Subnet **1002** includes host processor nodes **1006**, **1008**, and **1010**. Subnet **1004** includes host processor nodes **1012** and **1014**. Subnet **1002** includes switches **1016**  
30 and **1018**. Subnet **1004** includes switches **1020** and **1022**.

Routers connect subnets. For example, subnet **1002** is connected to subnet **1004** with routers **1024** and **1026**.

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In one example embodiment, a subnet has up to 216 endnodes, switches, and routers.

A subnet is defined as a group of endnodes and cascaded switches that is managed as a single unit.

5 Typically, a subnet occupies a single geographic or functional area. For example, a single computer system in one room could be defined as a subnet. In one embodiment, the switches in a subnet can perform very fast wormhole or cut-through routing for messages.

10 A switch within a subnet examines the DLID that is unique within the subnet to permit the switch to quickly and efficiently route incoming message packets. In one embodiment, the switch is a relatively simple circuit, and is typically implemented as a single integrated  
15 circuit. A subnet can have hundreds to thousands of endnodes formed by cascaded switches.

As illustrated in **Figure 10**, for expansion to much larger systems, subnets are connected with routers, such as routers **1024** and **1026**. The router interprets the IP  
20 destination ID (e.g., IPv6 destination ID) and routes the IP-like packet.

An example embodiment of a switch is illustrated generally in **Figure 3B**. Each I/O path on a switch or router has a port. Generally, a switch can route packets  
25 from one port to any other port on the same switch.

Within a subnet, such as subnet **1002** or subnet **1004**, a path from a source port to a destination port is determined by the LID of the destination host channel adapter port. Between subnets, a path is determined by  
30 the IP address (e.g., IPv6 address) of the destination

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host channel adapter port and by the LID address of the router port which will be used to reach the destination's subnet.

In one embodiment, the paths used by the request packet and the request packet's corresponding positive acknowledgment (ACK) or negative acknowledgment (NAK) frame are not required to be symmetric. In one embodiment employing oblivious routing, switches select an output port based on the DLID. In one embodiment, a switch uses one set of routing decision criteria for all its input ports. In one example embodiment, the routing decision criteria are contained in one routing table. In an alternative embodiment, a switch employs a separate set of criteria for each input port. A data transaction in the distributed computer system of the present invention is typically composed of several hardware and software steps. A client process data transport service can be a user-mode or a kernel-mode process. The client process accesses host channel adapter hardware through one or more queue pairs, such as the queue pairs illustrated in **Figures 3A, 5, and 6**. The client process calls an operating-system specific programming interface, which is herein referred to as "verbs." The software code implementing verbs posts a work queue element to the given queue pair work queue.

There are many possible methods of posting a work queue element and there are many possible work queue element formats, which allow for various cost/performance design points, but which do not affect interoperability. A user process, however, must communicate to verbs in a well-defined manner, and the format and protocols of data transmitted across the SAN fabric must be sufficiently

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specified to allow devices to interoperate in a heterogeneous vendor environment.

In one embodiment, channel adapter hardware detects work queue element postings and accesses the work queue  
5 element. In this embodiment, the channel adapter hardware translates and validates the work queue element's virtual addresses and accesses the data.

An outgoing message is split into one or more data packets. In one embodiment, the channel adapter hardware  
10 adds a transport header and a network header to each packet. The transport header includes sequence numbers and other transport information. The network header includes routing information, such as the destination IP address and other network routing information. The link  
15 header contains the Destination Local Identifier (DLID) or other local routing information. The appropriate link header is always added to the packet. The appropriate global network header is added to a given packet if the destination endnode resides on a remote subnet.

20 If a reliable transport service is employed, when a request data packet reaches its destination endnode, acknowledgment data packets are used by the destination endnode to let the request data packet sender know the request data packet was validated and accepted at the  
25 destination. Acknowledgment data packets acknowledge one or more valid and accepted request data packets. The requestor can have multiple outstanding request data packets before it receives any acknowledgments. In one embodiment, the number of multiple outstanding messages,  
30 i.e. Request data packets, is determined when a queue pair is created.

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One embodiment of a layered architecture **1100** for implementing the present invention is generally illustrated in diagram form in **Figure 11**. The layered architecture diagram of **Figure 11** shows the various  
5 layers of data communication paths, and organization of data and control information passed between layers.

Host channel adaptor endnode protocol layers (employed by endnode **1111**, for instance) include an upper level protocol **1102** defined by consumer **1103**, a transport  
10 layer **1104**; a network layer **1106**, a link layer **1108**, and a physical layer **1110**. Switch layers (employed by switch **1113**, for instance) include link layer **1108** and physical layer **1110**. Router layers (employed by router **1115**, for instance) include network layer **1106**, link layer **1108**,  
15 and physical layer **1110**.

Layered architecture **1100** generally follows an outline of a classical communication stack. With respect to the protocol layers of end node **1111**, for example, upper layer protocol **1102** employs verbs (**1112**) to create  
20 messages at transport layer **1104**. Transport layer **1104** passes messages (**1114**) to network layer **1106**. Network layer **1106** routes packets between network subnets (**1116**). Link layer **1108** routes packets within a network subnet (**1118**). Physical layer **1110** sends bits or groups of bits  
25 to the physical layers of other devices. Each of the layers is unaware of how the upper or lower layers perform their functionality.

Consumers **1103** and **1105** represent applications or processes that employ the other layers for communicating  
30 between endnodes. Transport layer **1104** provides end-to-end message movement. In one embodiment, the

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transport layer provides four types of transport services as described above which are reliable connection service; reliable datagram service; unreliable datagram service; and raw datagram service. Network layer **1106** performs  
5 packet routing through a subnet or multiple subnets to destination endnodes. Link layer **1108** performs flow-controlled, error checked, and prioritized packet delivery across links.

Physical layer **1110** performs technology-dependent  
10 bit transmission. Bits or groups of bits are passed between physical layers via links **1122, 1124, and 1126**. Links can be implemented with printed circuit copper traces, copper cable, optical cable, or with other suitable links.

15 As mentioned above, the present invention provides an apparatus and method for an advanced tunneling technique to allow Internet Protocol (IP) frames to be routed through SAN components with little or no overhead. Tunneling is the function performed when a network  
20 component transfers a packet from one media type (e.g., Ethernet) to another (e.g., InfiniBand). The advanced tunneling of the present invention allows a System Area Network (SAN) to be coupled to an external network, such as a Local Area Network (LAN), Wide Area Network (WAN),  
25 the Internet, or the like, using a router that connects directly to a HCA. There is no need for the additional hardware and overhead of an Ethernet Adapter and TCA.

**Figure 12** is an exemplary diagram illustrating the transmission and reception of Raw and Unreliable  
30 datagrams in accordance with the present invention. With the present invention, when data is to be transmitted from a first device to a second device, the following

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transmission input/output methodology is used. First, a host process **1210** uses Store instructions, i.e. instructions used by the processor to store data into system memory, to create the data which needs to be transferred to a router.

The host process which creates the data, or an intermediary, invokes an Internet Protocol (IP) over InfiniBand (IB) device driver **1220**. Prior to data being transmitted from the IP over IB device driver **1220** to the router, a Raw Datagram (RawD) or Unreliable Datagram (UD) IP over IB Queue Pair (QP) **1230** must be created and initialized on the Host Channel Adapter (HCA) **1240**. The router **1250** must also be initialized and both the router **1250** and the IP over IB device driver **1220** must use the standard InfiniBand Service Administration messages to determine where the IP over IB service is hosted on each (that is, the address of the IP over IB service and, for Unreliable Datagrams, the Queue Pair of the IP over IB service). RawD and UD also may or may not contain a header referred to as a Global Routing Header, which can be used by a router to send packets across IB subnets.

With the present invention, the host IP over IB device driver **1220** receives an I/O Transmit transaction. An I/O Transmit transaction requests the IP over IB device driver **1220** to send data from the host to an external network, such as a LAN, attached destination. The I/O Transmit transaction can originate from a user level program (e.g. Web server) or a kernel level program (e.g., cluster heartbeat monitor). The I/O Transmit transaction contains pointers to various memory regions which contain the data to be transmitted. The I/O Transmit also contains the destination address (IB Global

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Routing Header Global Identifier or IPv6 Destination Address) or address handle (pointer to the IB Global Routing Header Global Identifier or IPv6 Destination Address) of the component (host, storage component, 5 network component, or appliance) which is to receive the packet. The IP over IB device driver **1220** uses standard IB functions to allow the HCA **1240** to access the various memory regions (i.e. memory address and length) associated with the I/O Transmit transaction. The data 10 is transferred from host memory through the HCA **1240** to the router **1250**, and then transmitted on the external network **1260** to the final destination.

The IP over IB device driver **1220** uses a standard IB Post Send verb to pass a work request, which points to 15 the data which will be sent over the RawD or UD send queue **1232**, to the HCA. The Post Send verb is a standard InfiniBand function which instructs the HCA **1240** to send data from system memory to the destination. The IP over IB device driver **1220** can then either continue other work 20 or, if no other work is required, use a completion queue notify verb to request completion notification when the next completion event occurs. The completion queue notify verb is a standard InfiniBand function which invokes the caller when a completion event occurs.

25 When the HCA **1240** reaches the Send of the work request, it sends the RawD or UD as a single message to the router **1250** or, alternatively, a Target Channel Adapter (TCA). The router **1250** receives the RawD or UD. Upon completion of the RawD Send, the HCA **1240** creates a 30 completion queue entry **1272** to indicate the Send has been completed.

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5 The IP over IB device driver **1220** uses a Poll for Completion verb to retrieve the completion. The Poll for Completion verb is a standard IB function which instructs the HCA **1240** to retrieve one completion queue entry from the completion queue **1270**. The completion queue **1270** is used to contain all completion events. The IP over IB device driver **1220** can either continue other work, or if no other work is required, use a completion queue notify function to request completion notification when the next completion event occurs.

10 The router **1250** receives the RawD or UD packet and parses the packet's routing header. The router **1250** determines which output port to send the packet out of by looking at the packet's IB Global Router Header's Destination Global ID or IPv6 Destination Address. If the packet was a UD, and the output port is not IB, the router **1250** discards the packet's IB Transport Header. If the packet was a UD, and the output port is IB, the router **1250** makes any necessary changes to the standard subnet local IB Transport Header fields.

15 If the packet was a RawD, then, per the IB specification, no IB Transport Header is included in the packet and the router **1250** does not need to perform any IB Transport Header management. The router **1250** creates the IB link layer header necessary to send the packet from the router's output port to the external network, e.g., a LAN. The router **1250** then sends the packet from the router output port to the external network.

20 When data is received from an external network attached device using the present invention, the following input/output methodology is followed. The host process **1210** which needs the data from the router **1250**

reserves one or more memory regions which will be used to contain the data. The host process **1210** then invokes the IP over IB device driver **1220**. The host process **1210** passes the IP over IB device driver **1220** the memory region(s) which have been reserved for incoming receive I/O transactions. As packets are received through the HCA **1240** receive queue **1234** used by IP over IB device driver **1220** they are placed in the memory regions which were reserved by the host process **1210**.

The IP over IB device driver **1220** then uses a post receive to pass a receive work request to the HCA **1240**. The IP over IB device driver **1220** can then either  
25 continue other work or, if no other work is required, use a completion queue notify function to request completion notification when the next completion event occurs.

When data is received from the external network  
**1260**, the router **1250** parses the packet's routing header.  
 30 The router **1250** determines which output port to send the  
 packet out of by looking at the packet's IB Global Router

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Header's Destination Global ID or IPv6 Destination Address. The router **1250** creates the IB link layer header necessary to send the packet from the router's output port to the appropriate HCA receive queue **1234**. If the  
5 HCA **1240** is using a UD QP, the router **1250** creates the IB Transport Header necessary to address the HCA's IP QP **1230**.

If the HCA **1240** is using a RawD QP, the router **1250** doesn't create an IB Transport Header. The router **1250**  
10 then sends the packet from the router output port to the HCA's input port and into the HCA's IP receive queue **1234**.

When the HCA **1240** completes the reception of the RawD or UD, the HCA **1240** causes the completion queue  
15 handler to be notified. The IP over IB device driver **1220** will then poll the completion queue **1270** and retrieve a RawD or UD receive work completion **1274** and pass the received data to the host process which requested the data. The IP over IB device driver **1220** can  
20 either continue other work, or if no other work is required, use a completion queue notify function to request completion notification when the next completion event occurs.

**Figure 13** is a flowchart outlining an exemplary  
25 operation of the present invention when sending data from a host processor to an external network device. While the steps in **Figure 13** are shown in a particular order, no order is necessarily meant to be implied. Rather, many of the steps shown in **Figure 13** may be performed in  
30 a different order without departing from the spirit and scope of the present invention.

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As shown in **Figure 13**, a host process first uses Store instructions to create the data which needs to be transferred to a router (step **1310**). The host process which creates the data, or an intermediary, invokes an Internet Protocol (IP) over InfiniBand (IB) device driver (step **1320**). Either a Raw Datagram or Unreliable Datagram IP over IB Queue Pair is created and initialized in the HCA (step **1330**). The router is also initialized (step **1340**).

10        Thereafter, the host IP over IB device driver receives an I/O Transmit transaction (step **1350**). The IP over IB device driver passes a work request, which points to the data which will be sent over the RawD or UD send queue, to the HCA (step **1360**). The IP over IB device driver can then either continue other work or, if no other work is required, use a completion queue notify verb to request completion notification when the next completion event occurs.

20        When the HCA reaches the work request in the Send queue, it sends the RawD or UD as a single message to the router or, alternatively, a Target Channel Adapter (TCA) (step **1370**). Upon completion of the Send, the HCA creates a completion queue entry to indicate the Send has been completed (step **1380**). The IP over IB device driver uses a Poll for Completion verb to retrieve the completion (step **1390**). The operation then ends.

**Figure 14** is a flowchart outlining an exemplary operation of a router when data is received from a HCA in accordance with the present invention. While the steps in **Figure 14** are shown in a particular order, no order is necessarily meant to be implied. Rather, many of the

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steps shown in **Figure 14** may be performed in a different order without departing from the spirit and scope of the present invention.

The router receives the data packet and parses the data packet's routing header (step **1410**). The router determines which output port to send the packet out of by looking at the packet's IB Global Router Header's Destination Global ID or IPv6 Destination Address (step **1420**). The router then modifies the header information of the data packet as appropriate (step **1430**). For example, if the packet was a UD, and the output port is not IB, the router discards the packet's IB Transport Header. If the packet was a UD, and the output port is IB, the router makes any necessary changes to the standard subnet local IB Transport Header fields.

If the packet was a RawD, then no IB Transport Header is included in the data packet and the router does not need to perform any IB Transport Header management. The router then creates the IB link layer header necessary to send the packet from the router's output port (step **1440**). The router then sends the packet from the router output port to either the HCA or the external network (**1450**).

**Figure 15** is a flowchart outlining an exemplary operation of the present invention when data is received from an external network attached device in accordance with the present invention. While the steps in **Figure 15** are shown in a particular order, no order is necessarily meant to be implied. Rather, many of the steps shown in **Figure 15** may be performed in a different order without departing from the spirit and scope of the present invention.

As shown in **Figure 15**, the host process which needs the data from the router reserves one or more memory regions which will be used to contain the data (step **1510**). The host process then invokes the IP over IB device driver (step **1520**). The host process passes the IP over IB device driver the memory region(s) which have been reserved for incoming receive I/O transactions (step **1530**).

The IP over IB device driver then uses a post receive to pass a receive work request to the HCA (step **1540**). When the HCA completes the reception of the RawD or UD, the HCA causes the completion queue handler to be notified (step **1550**). The IP over IB device driver will then poll the completion queue and retrieve a RawD or UD receive work completion and pass the received data to the host process which requested the data (step **1560**) and the data is placed in the memory regions which were reserved by the host process (step **1570**). The operation then ends.

Further optimizations to the methodologies above may be made without departing from the spirit and scope of the present invention. Such optimizations may include using unsignaled completions for Send operations. This removes the need to handle Send completions. Periodically, signaled Send operations may be used to assure all previous unsignaled work requests completed successfully.

In addition, the router may separate incoming packet headers from the data and send them to the host channel adapter in two separate operations. The first operation may be used to send the header. The second operation may be used to send the data. Each operation can target the same or a different host channel adapter receive queue.

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It is important to note that while the present invention has been described in the context of a fully functioning data processing system, those of ordinary skill in the art will appreciate that the processes of  
5 the present invention are capable of being distributed in the form of a computer readable medium of instructions and a variety of forms and that the present invention applies equally regardless of the particular type of signal bearing media actually used to carry out the  
10 distribution. Examples of computer readable media include recordable-type media such a floppy disc, a hard disk drive, a RAM, and CD-ROMs and transmission-type media such as digital and analog communications links.

The description of the present invention has been  
15 presented for purposes of illustration and description, but is not intended to be exhaustive or limited to the invention in the form disclosed. Many modifications and variations will be apparent to those of ordinary skill in the art. The embodiment was chosen and described in  
20 order to best explain the principles of the invention, the practical application, and to enable others of ordinary skill in the art to understand the invention for various embodiments with various modifications as are suited to the particular use contemplated.

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